

# CDP Climate Change Supply Chain Drafting Document 2015

CDP

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# Introduction to the Drafting Document

#### Introduction to this document

This document is solely for the purpose of drafting a response to the 2015 Supply Chain program questionnaire with the climate change element. This consists of the 2015 Climate Change questionnaire and the 2015 Climate Change Supply Chain module. Your final response must be entered via the CDP Online Response System (ORS). We highly recommend that you activate your access to the ORS and review its structure and related guidance before proceeding with this drafting document. If you do not already have access to the ORS for your disclosing organization, please email <a href="respond@cdp.net">respond@cdp.net</a> to request access.

The ORS consists of questions that you will be asked to answer depending on other questions answered in each section. Please note that text fields have a character limit – please refer to the guidance document.

#### What is the deadline for responses?

Companies will receive a request to participate and activation link in April 2015. This will allow them to access the questionnaire and begin inputting their response. The deadline for response submissions is 30<sup>th</sup> July 2015.

#### Guidance

This drafting document contains no guidance on how to answer the questions. Please use this document in conjunction with the complete reporting guidance, found at <a href="https://www.cdproject.net/en-US/Pages/guidance.aspx">https://www.cdproject.net/en-US/Pages/guidance.aspx</a>



# Introduction

### 0. Introduction page

#### CC0.1: Introduction

Please give a general description and introduction to your organization [maximum 5000 characters]

Artesyn Embedded Technologies is a global leader in the design and manufacture of highly reliable power conversion and embedded computing solutions for a wide range of industries including communications, computing, healthcare, military, aerospace, and industrial automation. For more than 40 years, customers have trusted Artesyn to help them accelerate time-to-market and reduce risk with cost-effective advanced network computing and power conversion solutions.

#### CC0.2: Reporting Year

Please state the start and end date of the year for which you are reporting data.

The current reporting year is the latest/most recent 12-month period for which data is reported. Enter the dates of this year first. Please enter dates in the following format: day(DD)/month(MM)/year(YYYY) in full, i.e. 31/01/2013. 01/01/2014 – 31/12/2014

#### CC0.3: Country list configuration

This question should only to be completed if you are responding to the Electric Utilities module.

Please select the countries for which you will be supplying data

Select all countries in which you operate from the drop down menu provided.

#### CC0.4: Currency selection

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Please select the currency in which you would like to submit your response. All financial information contained in the response (questions 3.3b and 12.2) should be in this currency.

For example, if you select USD(\$) here, when providing your financial intensity metric in response to question 12.2, you should provide it as metric tonnes CO2e per US\$.

#### \$USD

#### CC0.5: Please select if you wish to complete a shorter information request [SME questionnaire only]

If you are responding to the request for information from supply chain members only you may have been given the option of answering a shortened information request because you have identified that you meet the criteria for Small and Medium Sized Enterprises (SMEs) in the ORS portal.

#### CC0.6: Modules

These modules are only for companies with business activities in these sectors. They should not be answered by companies solely on the basis that they use electricity, automobiles, oil & gas or ICT hardware/services in their work.

#### Those that apply are

	GICS code (at sub-industry or parent sector level)	Sector modules
•	55101010 Electric Utilities	Electric Utilities module
•	55103010 Multi-Utilities	
•	10102020 Oil & Gas Exploration & Production	Oil & Gas module
•	10102010 Integrated Oil & Gas	
•	10102030 Oil & Gas Refining & Marketing	
•	25101010 Auto Parts & Equipment	Auto module
•	25102010 Automobile Manufacturers	
0	50 Telecommunication Services	ICT module
•	45 Information Technology	
0	302010 Beverages	FBT module
۰	302020 Food Products	
0	302030 Tobacco	



# Management

#### CC1. Governance

CC1.1: Where is the highest level of direct responsibility for climate change within your organization?

- Board or individual/sub-set of the Board or other committee appointed by the Board;
- Senior Manager/Officer;
- Other Manager/Officer;
- No individual or committee with overall responsibility for climate change.

Board or individual/sub-set of the Board or other committee appointed by the Board

If "Board or individual/sub-set of the Board or other committee appointed by the Board"; "Senior Manager/Officer"; or, "Other Manager/Officer":

CC1.1a: Please identify the position of the individual or name of the committee with this responsibility

Going forward, the Corporate Social Responsibility Subcommittee appointed by the Board has ultimate responsibility. Sasha Glassman, Corporate Counsel, Corporate Social Responsibility, sustainable reporting manager, is the primary individual with this responsibility that reports to the Corporate Social Responsibility Subcommittee.

CC1.2: Do you provide incentives for the management of climate change issues, including the attainment of targets?

- Yes
- No

Yes



"If "Yes":

#### CC1.2a: Please provide further details on the incentives provided for the management of climate change issues

Who is entitled to benefit from these incentives?	The type of incentives	Incentivized performance indicator	Comment
Select from: Board chairman Board/Executive board Director on board Corporate executive team Chief Executive Officer (CEO) Chief Financial Officer (CFO) Chief Operating Officer (COO) Executive officer Management group Business unit managers Energy managers Environment/Sustainability managers Facilities managers Process operation managers Public affairs managers Risk managers All employees Other, please specify	Select from:  • Monetary reward  • Recognition (non-monetary)  • Other non-monetary reward	Multi-select from:	Text field [maximum 2400 characters]
All employees	Monetary reward	Emissions reduction project Energy reduction project Efficiency Project	Artesyn has an initiative to encourage all employees to reduce the power of its products designed and manufactured for clients. The award targets the embedded power consumption of its products. As an example, over the period from 2010 to 2014, many of Artesyn's products have improved in efficiency. Efficiency improvements greater than 2 percent have been common with some product efficiencies increasing by more than 5 to 10 percent.



Who is entitled to benefit from these incentives?	The type of incentives	Incentivized performance indicator	Comment
Facility Managers	Monetary reward	Energy Reduction Target Efficiency Target	Performance review for plant managers includes energy reduction targets as part of the assessment process. Energy reduction and projects are included in the annual review metrics for plan managers.
All employees	Monetary reward	Energy Reduction Project Energy Reduction Target	Filing of patents that reduce energy consumption of our products. Engineers have 2 opportunities to earn monetary awards in the patent application process: first, when they submit their idea to the IP committee and then again if the IP committee determines the idea is patentable, upon application for the patent.

### CC2. Strategy

# CC2.1: Please select the option that best describes your risk management procedures with regard to climate change risks and opportunities

- Integrated into multi-disciplinary company wide risk management processes
- A specific climate change risk management process
- There are no documented processes for assessing and managing risks and opportunities from climate change

A specific climate change risk management process

If "Integrated into multi-disciplinary company wide risk management processes or "A specific climate change risk management process" is selected, answer questions CC2.1a - 2.1c:

CC2.1a: Please provide further details on your risk management procedures with regard to climate change risks and opportunities



Frequency of monitoring	To whom are results reported?	Geographical areas considered	How far into the future are risks considered?	Comment
Select from: Six-monthly or more frequently Annually Every two years Sporadically, not defined Never	Select from:  Board or individual/sub-set of the Board or committee appointed by the Board  Other committee  Senior manager/officer  Other manager/officer  Nobody	Text field [maximum 500 characters]	Select from:  Up to 1 year  1 to 3 years  3 to 6 years  >6 years  Unknown	Text field [maximum 1000 characters]
Annually	Board or individual/sub- set of the Board or committee appointed by the Board	The Philippines and China are the two primary areas considered.	1 to 3 years	Artesyn has developed multiple risk management procedures, in particular related to business continuity because of periodic disruptions to the Philippines and the potential for disruption to China manufacturing arising for changing climate conditions and weather events. The facilities have a variety of plans and physical infrastructure including standby power because of the planning efforts. Each manufacturing facility also includes risk management and goal setting as part of their ISO 14001 efforts.

### CC2.1b: Please describe how your risk and opportunity identification processes are applied at both company and asset level [maximum 2000 characters]

Artesyn performs initial analyses relating to risks and opportunities then provides the guidance for sites and assets to further seek out and identify risks and opportunities. The business continuity plans and risk analyses for floods, and other effects of climate change are also taken into account. Another example arises when we go through the underwriting process with our insurers and evaluate risks for each of our sites.

- (i) At a company level, Artesyn has developed Business Continuity Plans reflecting the steps to be taken to return manufacturing to normal operation following climate-driven significant events such as typhoons. In addition, the underwriting process with our insurers begins at the company level then proceeds to the asset level.
- (ii) At an asset level, The sites provide local input and specific planning and risk factors unique to each site. Each of the sites has installed standby generators and has implemented other aspects of their Business Continuity Plans, which occurred as a result of successful implementation of risk identification process carried forward from the company to asset level.

#### CC2.1c: How do you prioritize the risks and opportunities identified? [maximum 2000 characters]



Once Artesyn identifies risks, prioritization occurs based on the combined potential for impact and influence. Specifically, high priority risks are those where the impact on Artesyn is high and the ability to influence the issue is high.

If "There are no documented processes for assessing and managing risks and opportunities from climate change" is selected:

CC2.1d: Please explain why you do not have a process in place for assessing and managing risks and opportunities from climate change, and whether you plan to introduce such a process in the future

Main reason for not having a process	Do you plan to introduce a process?	Comment
Select from:  Insufficient resources to complete risk assessment  No requirement from management  Insufficient data on operations  No risk management processes in place  Insufficient knowledge of climate change impacts  Other, please specify	Select from: • Yes • No	Text field [maximum 1500 characters]

#### CC2.2: Is climate change integrated into your business strategy?

- Yes
- No

Yes

If "Yes":

# CC2.2a: Please describe the process of how climate change is integrated into your business strategy and any outcomes of this process [maximum 7000 characters]

Climate change is integrated into our business strategy both in terms of how we operate as a company and the products we provide to clients.

i) Our internal process for collecting and reporting information includes activities supporting the insurance underwriting process as well as the development of Business Continuity Plans for our sites, which are susceptible to weather-related events including typhoons. ii) Regulatory changes and the need to develop green, energy-efficient products have been particularly important in our product offerings and have resulted in considerable opportunity being realized in that market segment as a result of improving the efficiency of our products. In addition, our Business Continuity Plans highlight the need for adaptation and resiliency in our internal operations. iii) The most important aspect short term is to continue



to meet regulatory requirements regarding the minimum efficiency of our power conversion devices. iv) The most important aspect long-term is to continue to progress the efficiency and range of products in our line of power conversion devices. Some of our products are critical to the operation of energy saving devices such as high efficiency LED light bulbs. v) The process of improving our conversion efficiency provides a strategic advantage over our competitors. vi) The most important business decision made is to foster a culture of innovation to continue to spur ideas across our multiple products lines, which have allowed us to position for new emerging products as well as to improve the efficiencies of our existing product lines. As customers experience increasing energy costs and awareness regarding climate change, the improved efficiencies of our products enable us to produce solutions with decreased energy consumption over the course of equipment operational life. For example, one of our products increased its efficiency from 79 percent in 2010 to over 89 percent in 2014. Multiple of our products have efficiencies in excess of 90 percent.

of our pro 90 perce	oducts increased its efficiency from 79 percent in 2010 to over 89 percent in 2014. Multiple of our products have efficiencies in excess of ent.
If "No":	
CC2.2b:	Please explain why climate change is not integrated into your business strategy [maximum 5000 characters]
CC2.2c	Does your company use an internal price of carbon?
	<ul> <li>Yes</li> <li>No, but we anticipate doing so in the next two years</li> <li>No, and we do not currently anticipate doing so in the next 2 years</li> </ul>
No, and	we do not currently anticipate doing so in the next 2 years
If "Yes":	
CC2.2d:	Please provide details and examples of how your company uses an internal price of carbon [maximum 5000 characters]
CC2.3:	Do you engage in activities that could either directly or indirectly influence public policy on climate change through any of the following? (tick all that apply)
	<ul> <li>□ Direct engagement with policy makers</li> <li>□ Trade associations</li> <li>□ Funding research organizations</li> </ul>

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□ Other



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#### If "Direct engagement with policy makers" is ticked:

CC2.3a: On what issues have you been engaging directly with policy makers?

Select from:  • Mandatory carbon reporting  • Cap and trade  • Carbon tax  • Energy efficiency  • Clean energy generation  • Adaptation resiliency  • Climate finance  • Regulation of methane emissions  • Other, please specify	Select from: Support Support with minor exceptions Support with major exceptions Neutral Oppose Undecided	Text Field [maximum 2400 characters]	Text Field [maximum 2400 characters]

N/A because "direct engagement with policy makers" is NOT ticked in CC2.3If "Trade associations" is ticked in question CC2.3:

#### CC2.3b: Are you on the Board of any trade associations or provide funding beyond membership?

- Yes
- No

No

If "Trade Associations" is ticked in question CC2.3:

#### CC2.3c: Please enter the details of those trade associations that are likely to take a position on climate change legislation

Trade association	Is your position on climate change consistent with theirs?	Please explain the trade association's position	How have you, or are you attempting to, influence the position
Text Field	Select from:  Consistent	Text Field [maximum 2400 characters]	Text Field [maximum 2400 characters]
	Inconsistent		2400 characters



Trade association	Is your position on climate change consistent with theirs?	Please explain the trade association's position	How have you, or are you attempting to, influence the position
	Mixed		
	Unknown		
Electronic Industry Citizenship Coalition (EICC)	Consistent	Participants recognize that environmental responsibility is integral to producing world class products. In manufacturing operations, adverse effects on the community, environment and natural resources are to be minimized while safeguarding the health and safety of the public. Recognized management systems such as ISO 14001 and the Eco Management and Audit System (EMAS) were used as references in preparing the Code and may be a useful source of additional information. The EICC code of conduct includes a specific standard on energy consumption and greenhouse gas emissions: Energy consumption and greenhouse gas emissions are to be tracked and documented, at the facility and/or corporate level. Participants are to look for cost effective methods to improve energy efficiency and to minimize their energy consumption and greenhouse gas emissions.	Adopted the EICC code of conduct.

If "Funding research organizations" is ticked, answer questions CC2.3d – CC2.3f:

CC2.3d: Do you publically disclose a list of all the research organizations that you fund?

- Yes
- No

CC2.3e: Do you fund any research organizations to produce or disseminate public work on climate change?

- Yes
- No

#### If 'Yes':

CC2.3f: Please describe the work and how it aligns with your own strategy on climate change [maximum 5000 characters]

#### If "Other" is ticked in question CC2.3:

CC2.3g: Please provide details of the other engagement activities that you undertake [maximum 5000 characters]



If "Direct engagement", "Trade associations", "Funding research organizations" or "Other" is ticked in question CC2.3:

CC2.3h: What processes do you have in place to ensure that all of your direct and indirect activities that influence policy are consistent with your overall climate change strategy? [maximum 5000 characters]

While Artesyn does not take an active position on influencing external policy, we engage with Trade Associations such as the Electronics Industry Citizenship Coalition (EICC). EICC's Code of Conduct has been formally adopted and incorporated into the Artesyn Company Code of Conduct as part of our direct and indirect activities to ensure our internal actions are consistent with the policy. Artesyn also audits to the standards in line with EICC policies. In addition, the process of ISO 14001 has included the development of metrics and monitoring against those metrics such as performance against energy targets.

If "No" is ticked in question CC2.3: CC2.3i: Please explain why you do not engage with policy makers [maximum 5000 characters]

- CC2.4: Would your organization's board of directors support an international agreement between governments on climate change, which seeks to limit global temperature rise to under two degrees Celsius from pre-industrial levels in line with IPCC scenarios such as RCP2.6?
  - Yes
  - No
  - No opinion

No opinion

If you have answered question CC2.4:

CC2.4a Please describe your board's position on what an effective agreement would mean for your organization and activities that you are undertaking to help deliver this agreement at the 2015 United Nations Climate Change Conference in Paris (COP 21) [maximum 5000 characters]



# CC3. Targets & Initiatives

#### CC3.1: Did you have an emissions reduction target that was active (ongoing or reached completion) in the reporting year?

- Absolute target
- Intensity target
- Absolute and intensity targets
- No

No.

#### If you have selected either "absolute target" or "absolute and intensity targets":

CC3.1a: Please provide details of your absolute target

Text Field	Select from: Scope 1; Scope 2; Scope 1+2; Scope 1+2+3; Scope 3: Purchased goods & services Scope 3: Capital goods Scope 3: Fuel- and energy-related activities (not included in Scopes 1 or 2) Scope 3: Upstream transportation & distribution Scope 3: Waste generated in operations Scope 3: Business travel Scope 3: Employee commuting Scope 3: Upstream leased assets Scope 3: Investments Scope 3: Downstream transportation and distribution Scope 3: Processing of sold products Scope 3: Use of sold products Scope 3: End-of-life treatment of sold products Scope 3: Downstream leased assets Scope 3: Franchises Other, please specify	Numerical Field	Numerical Field	Enter year between 1900 and 2014	Numerical Field	Enter year between 2000 and 2100	Text Field [maximum 2400 characters]

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#### If you have selected either "intensity target" or "absolute and intensity targets"

CC3.1b: Please provide details of your intensity target




Text Field	Select from: Scope 1; Scope 2; Scope 1+2; Scope 1+2+3; Scope 3: Purchased goods & services Scope 3: Capital goods Scope 3: Fuel- and energy-related activities (not included in Scopes 1 or 2) Scope 3: Upstream transportation & distribution Scope 3: Waste generated in operations Scope 3: Business travel Scope 3: Employee commuting Scope 3: Upstream leased assets Scope 3: Investments Scope 3: Downstream transportation and distribution Scope 3: Use of sold products Scope 3: Use of sold products Scope 3: Downstream leased assets	Numerical Field	Numerical Field	Select from: Grams CO2e per kilometer Metric tonnes CO2e per unit revenue Metric tonnes CO2e per unit FTE employee Metric tonnes CO2e per unit hour worked Metric tonnes CO2e per metric tonne of product Metric tonnes of CO2e per liter of product Metric tonnes CO2e per unit of production Metric tonnes CO2e per unit of service provided Metric tonnes CO2e per square foot Metric tonnes CO2e per square meter Metric tonnes CO2e per square meter Metric tonnes CO2e per passenger kilometer Metric tonnes CO2e per barrel of oil equivalent (BOE) Metric tonnes CO2e per barrel of oil equivalent (BOE) Metric tonnes CO2e per tonne of aluminum Metric tonnes CO2e per tonne of ore processed Metric tonnes CO2e per ounce of gold Metric tonnes CO2e per ounce of platinum Metric tonnes CO2e per tonne of	Enter year between 1900 and 2014	Numerical Field	Enter year between 2000 and 2100	Text Field [maximum 2 characters]
	• Other, piease specify			Metric tonnes CO2e per ounce of platinum				

#### If you have selected "intensity target" or "absolute and intensity targets" in CC3.1:

CC3.1c: Please also indicate what change in absolute emissions this intensity target reflects

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Text Field	Select from: Increase Decrease No change	Numerical Value	Select from: Increase Decrease No change	Numerical Value	Text Field [maximum 2400 characters]

If you have selected "Absolute target", "Intensity target" or "Absolute and intensity targets" in response to question CC3.1:

CC3.1d: For all of your targets, please provide details on the progress made in the reporting year

Text Field	Numerical Value	Numerical Value	Text Field [maximum 2400 characters]

If you have selected "No" in response to question CC3.1:

# CC3.1e: Please explain (i) why you do not have a target; and (ii) forecast how your emissions will change over the next five years [maximum 5000 characters]

- (i) Prior to 2014, Artesyn Embedded Technologies was a division of a larger corporation with climate change policies and procedures in place within that entity.
- (ii) We forecast that our emissions for our current manufacturing operations will decrease over the next five years.

#### CC3.2: Does the use of your goods and/or services directly enable GHG emissions to be avoided by a third party?

- Yes
- No

Yes

If "Yes":



# CC3.2a: Please provide details of how the use of your goods and/or services directly enable GHG emissions to be avoided by a third party [maximum 5000 characters]

- (i) Artesyn Embedded Technologies provides solutions to emerging challenges in electronics across multiple industries. For example, the company's extensive standard AC-DC product portfolio covers a power range of 3 watts to 5 kilowatts and includes open-frame and enclosed models, highly configurable modular power supplies, rack-mounting bulk front end units, DIN rail power supplies, external power adapters and power supplies for LED lighting.
- (ii) Artesyn Embedded Technologies provides an array of LED lighting drivers that enables LED to provide energy savings to consumers. Applications range from street lighting to commercial to residential purposes. The U.S. EPA EnergyStar program estimates that LEDs can save 75% of energy consumption compared with incandescent while lasting 35-50 times longer.
- (iii) Artesyn Embedded Technologies is a leading provider of drivers and high efficiency equipment that allows our customers to reduce emissions. Total kWh of savings cumulative have not been compiled but emissions would be calculated following the GHG Protocol Protocol methodology. We would use the eGrid ninth edition with 2010 data U.S. emission factor and the AR5 GWPs.

# CC3.3: Did you have emissions reduction initiatives that were active within the reporting year (this can include those in the planning and/or implementation phases)

- Yes
- No

Yes

If "Yes", questions CC3.3a – CC3.3c will be presented:

# CC3.3a: Please identify the total number of projects at each stage of development, and for those in the implementation stages, the estimated CO<sub>2</sub>e savings

Stage of development	Number of projects	Total estimated annual CO2e savings in metric tonnes CO₂e (only for rows marked *)
Under investigation	0	0
To be implemented*	7	2354
Implementation commenced*	7	1334
Implemented*	2	3162
Not to be implemented	0	0



CC3.3b: For those initiatives implemented in the reporting year, please provide details in the table below

Activity type	Description of activity	Estimated	Scope	Voluntary	Annual monetary	Investment	Payback	Estimated	Comment
		annual CO <sub>2</sub> e savings (metric tonnes CO <sub>2</sub> e)		/Mandatory	savings (unit currency – as specified in CC0.4)	required (unit currency – as specified in CC0.4)	period	lifetime of the initiative (years)	
Select from:  • Energy efficiency: Building fabric  • Energy efficiency: Building services  • Energy efficiency: Processes  • Fugitive emissions reduction  • Low carbon energy purchase  • Low carbon energy installation  • Process emissions reductions  • Transportation: fleet  • Transportation: use  • Product design  • Behavioral change  • Other	Text Field [maximum 2400 characters]	Numerical Field	Select from: • Scope 1 • Scope 2 • Scope 3	Select from:  • Voluntary  • Mandatory	Numerical Field	Numerical Field	Select from:	Select from:	Text Field [maximum 1500 characters]
Energy efficiency: Building Services	Replace air conditioning, LED lamps and lighting systems, and air conditioning control system improvements	2943	Scope 2	Voluntary	513000	1030000	2.0	21-25 years	
Energy efficiency: Processes	Reduce energy consumption of recycle process equipment and air compressors	219	Scope 2	Voluntary	35000	340000	9.6	21-25 years	

CC3.3c: What methods do you use to drive investment in emissions reduction activities?

Method	Comment
Select from:	Text Field [maximum 2400 characters]



Method	Comment
Compliance with regulatory requirements/standards	
Dedicated budget for energy efficiency	
Dedicated budget for low carbon product R&D	
Dedicated budget for other emission reduction activities	
Employee engagement	
Financial optimization calculations	
Internal price of carbon	
Internal incentives/recognition programs	
Internal finance mechanisms	
Lower return on investment (ROI) specification	
Marginal abatement cost curve	
Partnering with governments on technology development	
• Other	
1. Compliance with regulatory requirements/standards: Comment: Our products must meet efficiency standards	
2. Employee engagement: Comment: engineers and scientists are incentivized through monetary awards to design	
innovative and energy-savings products.	
3. Financial optimization calculations: Comment: projects with the potential to reduce operating costs and save	
energy or utility costs are submitted for review and implemented based on the potential for financial	
optimizations.	

If "No": CC3.3d: If you do not have any emissions reduction initiatives, please explain why not [maximum 5000 characters] (Not applicable based on the response to 3.3)



# **Communications**

### **CC4.** Communications

Publication	Status	Page/Section reference	Attach the document
Select from:  No In mainstream financial reports in accordance with the CDSB Framework In mainstream financial reports but have not used the CDSB Framework In other regulatory filings In voluntary communications	Select from:	Text Field	See ORS
No			



# **Risks & Opportunities**

### **CC5. Climate Change Risks**

CC5.1: Have you identified any inherent climate change risks that have the potential to generate a substantive change in your business operations, revenue or expenditure? Tick all that apply

- ✓ Risks driven by changes in regulation
- ✓ Risks driven by changes in physical climate parameters
- ✓ Risks driven by changes in other climate-related developments

If "Risks driven by changes in regulation" is ticked:

CC5.1a: Please describe your inherent risks driven by changes in regulations



Risk driver	Description	Potential impact	Timeframe	Direct/ Indirect	Likelihood	Magnitude of impact	Estimated financial implication s	Manageme nt methods	Cost of manageme nt
Select from: International agreements Air pollution limits Carbon taxes Cap and trade schemes Emission reporting obligations Fuel/energy taxes and regulations Product efficiency regulations and standards Product labelling regulations and standards Voluntary agreements General environmental regulations, including planning Renewable energy regulation Uncertainty surrounding new regulation Lack of regulation Other regulatory drivers	Text Field [maximum 2400 characters]	Select from: Increased operational cost Increased capital cost Reduced demand for goods/service Reduction/ disruption in production capacity Reduction in capital availability Reduced stock price (market valuation) Inability to do business Wider social disadvantage Other, please specify	Select from:  • Up to 1 year  • 1 to 3 years  • 3 to 6 years  • >6 years  • Unknown	Select from:  Direct Indirect (Supply chain) Indirect (Client)	Select from:  • Virtually certain  • Very likely  • Likely  • More likely than not  • About as likely as not  • Unlikely  • Very unlikely  • Exceptionally unlikely  • Unknown	Select from:  High  Medium- high  Medium  Low-medium  Low  Unknown	Text Field [maximum 1000 characters]	Text Field [maximum 1500 characters]	Text Field [maximum 1000 characters]
Product efficiency regulations and standards	Power Supply energy efficiency regulatory requirement.	Increased capital cost     Reduction/ disruption in production capacity     Inability to do business	• 1 to 3 years	• Direct	Virtually certain	Medium	Artesyn invests to continue to monitor changing regulations as well as on Energy Star Certification from an eligible laboratory. R&D is a fundamental aspect of the regulatory compliance process as	To keep ahead of product efficiency regulations and standards, we actively improve the efficiency of our products through R&D. For example, in our MaxCore product we have taken a	R&D is a significant investment as a percent of sales for our embedded power products.



		meeting the	rack of 42	
		standards	rackmount	
		requires	servers and	
		innovation.	collapsed	
		R&D is a	that into a	
		significant	much	
		investment	smaller and	
		as a percent	more	
		of sales for	energy-	
		our	efficient box	
		embedded	("MaxCore")	
		power	that	
		products.	improves	
			space and	
			energy	
			efficiency.	



If "Risks driven by changes in regulation" is ticked:

#### CC5.1b: Please describe your inherent risks that are driven by change in physical climate parameters

Risk driver	Description	Potential impact	Timeframe	Direct/ Indirect	Likelihood	Magnitude of impact	Estimated financial implications	Management methods	Cost of management
Select from:  Change in mean (average) temperature  Change in temperature extremes  Change in mean (average) precipitation  Change in precipitation pattern  Change in precipitation extremes and droughts  Snow and ice  Sea level rise  Tropical cyclones (hurricanes and typhoons)  Induced changes in natural resources  Uncertainty of physical risks  Other physical climate drivers	Text Field [maximum 2400 characters]	Select from: Increased operational cost Increased capital cost Reduced demand for goods/service Reduction/ disruption in production capacity Reduction in capital availability Reduced stock price (market valuation) Inability to do business Wider social disadvantage Other, please specify	Select from:  • Up to 1 year  • 1 to 3 years  • 3 to 6 years  • >6 years  • Unknown	Select from: Direct Indirect (Supply chain) Indirect (Client)	Select from:  Virtually certain  Very likely  Likely  More likely than not  About as likely as not  Unlikely  Very unlikely  Exceptionally unlikely  Unknown	Select from:  High  Medium-high  Medium  Low-medium  Low  Unknown	Text Field [maximum 1000 characters]	Text Field [maximum 1500 characters]	Text Field [maximum 1000 characters]
Tropical cyclones (hurricanes and typhoons)	Our manufacturing operations located in Asia are at risk from tropical cyclones. In recognition of this risk Artesyn has taken a variety of steps across our manufacturing facilities to reduce the likelihood and magnitude of potential impacts. In particular, our	Increased operational cost	1 to 3 years	Direct	About as likely as not	High	We anticipate that financial implication s of downtime can exceed 2 percent of a site's revenue for each week it is not in operation with considerab le costs potentially applicable	We have developed a comprehen sive Business Continuity Plan, which is approved by our Chief Operating Officer, including as it is reviewed and updated	The manageme nt costs include the effort and time to attain COO approval as well as multiple managers at the corporate and site level to attain that level of approval. In addition, the



Business Continuity Plan includes planning, contingency, and resiliency requirements across our sites			to return it to operation.	nearly annually.	Business Continuity Plan requires continuity steps to allow resilient return to operation including backup drawing files, backup generation, backup manufacturi ng sites and capability and auditing

If "Risks driven by changes in regulation" is ticked:

#### CC5.1c: Please describe your inherent risks that are driven by changes in other climate-related developments

Risk driver	Description	Potential impact	Timeframe	Direct/ Indirect	Likelihood	Magnitude of impact	Estimated financial implication	Management methods	Cost of management
							S		



Select from: Reputation Changing consumer behavior Induced changes in human and cultural environments Fluctuating socio-economic conditions Increasing humanitarian demands Uncertainty in social drivers Uncertainty in market signals Other drivers	Text Field [maximum 2400 characters]	Select from: Increased operational cost Increased capital cost Reduced demand for goods/service Reduction/ disruption in production capacity Reduction in capital availability Reduced stock price (market valuation) Inability to do business Wider social disadvantage Other, please specify	Select from:  • Up to 1 year  • 1 to 3 years  • 3 to 6 years  • >6 years  • Unknown	Select from: Direct Indirect (Supply chain) Indirect (Client)	Select from:  Virtually certain  Very likely  Likely  More likely than not  About as likely as not  Unlikely  Very unlikely  Exceptionally unlikely  Unknown	Select from:  High  Mediumhigh  Medium  Low-medium  Low  Unknown	Text Field [maximum 1000 characters]	Text Field [maximum 1500 characters]	Text Field [maximum 1000 characters]
Reputation	Climate change risk driven by reputation can reduce business with environme ntally concerned customers.	Reduced demand for goods/services	3 to 6 years	Direct	About as likely as not	Medium	Our steps taken in R&D and manageme nt investment through the Business Continuity Plan should reduce risks or even create an opportunity for Artesyn.	Methods used to manage this risk include implementin g aggressive R&D programs to reduce the energy consumption of our products. In addition, each of our manufacturin g sites has multiple energy initiatives to reduce the energy consumption of their own operations both	R&D is a significant investment as a percent of sales for our embedded power products. In addition, we took an active role in managing our energy costs and taking steps to reduce our energy footprint. The cost of energy improvement implemented or considered in 2014



				implemented and under development	exceeds \$9 million.
				•	



If "Risks driven by changes in regulation" is was not ticked in answer CC5.1:

CC5.1d: Please explain why you do not consider your company to be exposed to inherent risks driven by changes in regulation that have the potential to generate a substantive change in your business operations, revenue or expenditure [maximum 2400 characters]

If "Risks driven by changes in physical climate parameters" is was not ticked in answer CC5.1:

CC5.1e: Please explain why you do not consider your company to be exposed to inherent risks driven by physical climate parameters that have the potential to generate a substantive change in your business operations, revenue or expenditure [maximum 2400 characters]

If "Risks driven by changes in other climate-related developments" is was not ticked in answer CC5.1:

CC5.1f: Please explain why you do not consider your company to be exposed to inherent risks driven by changes in other climate-related developments that have the potential to generate a substantive change in your business operations, revenue or expenditure [maximum 2400 characters]



### **CC6. Climate Change Opportunities**

#### **Pre-population**

If you responded to CDP last year, questions CC6.1, and questions CC6.1a, CC6.1b, and CC6.1c are eligible for pre-population. To take advantage of this function, click "copy from last year" prior to entering any data on the page.

#### **SME** version

Companies responding to the SME questionnaire are only requested to answer question CC6.1 and CC6.1a, b and/or c and CC6.1d, e and/or f, whichever are relevant.

CC6.1: Have you identified any inherent climate change opportunities that have the potential to generate a substantive change in your business operations, revenue or expenditure? Tick all that apply

- ✓ Opportunities driven by changes in regulation
- ✓ Opportunities driven by changes in physical climate parameters
- ✓ Opportunities driven by changes in other climate-related developments



If "Opportunities driven by changes in regulation" is ticked:

#### CC6.1a: Please describe your inherent opportunities that are driven by changes in regulation

Opportunity driver	Description	Potential impact	Timeframe	Direct/ Indirect	Likelihood	Magnitude of impact	Estimated financial implications	Management methods	Cost of management
Select from: International agreements Air pollution limits Carbon taxes Cap and trade schemes Emission reporting obligations Fuel/energy taxes and regulations Product efficiency regulations and standards Product labeling regulations and standards Voluntary agreements General environmental regulations, including planning Renewable energy regulation Other regulatory drivers	Text Field [maximum 2400 characters]	Select from:  Reduced operational cost Reduced capital cost Increased demand for existing products/services Premium price opportunities Increased production capacity Increase in capital availability Increased stock price (market valuation) New products/business services Investment opportunities Wider social benefits Other, please specify	Select from:  • Up to 1 year  • 1 to 3 years  • 3 to 6 years  • >6 years  • Unknown	Select from:  Direct Indirect (Supply chain) Indirect (Client)	Select from:  Virtually certain  Very likely  Likely  More likely than not  About as likely as not  Unlikely  Very unlikely  Exceptionally unlikely  Unknown	Select from:  High Medium-high Medium Low-medium Low Unknown	Text Field [maximum 1000 characters max]	Text Field [1500 characters max]	Text Field [maximum 1000 characters]
Product efficiency regulations and standards	Power Supply energy efficiency regulatory requirement provide potential for increased business for our existing products and could provide us a competitive advantage if we are able to meet the standards prior to	New Products / Business Services     Increased Demand of Existing Products / Services	1 to 3 years	Direct	About as likely as not.	Medium	Product efficiency regulations and standards have the opportunity to increase business such as increased lighting efficiency standards driving additional business to LED technologies. Regulations requiring increased efficiency	To keep ahead of product efficiency regulations and standards, we actively improve the efficiency of our products through R&D. For example, in our MaxCore product we have taken a rack of 42 rackmount servers and	R&D is a significant investment as a percent of sales for our embedded power products.

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others in the			could result in	collapsed that	
industry.			competitive	into a much	
			advantage if	smaller and	
			Artesyn's	more energy-	
			R&D teams	efficient box	
			are able to	("MaxCore")	
			meet the	that improves	
			standards	space and	
			prior to	energy	
			competitors or	efficiency to	
			at a cost	provide	
			advantage.	enhanced	
			R&D is a	customer	
			significant	experience	
			investment as	and providing	
			a percent of	an opportunity	
			sales for our	for future	
			embedded	growth in	
			power	sales.	
			products.		



If "Opportunities driven by changes in regulation" is ticked:

#### CC6.1b: Please describe the inherent opportunities that are driven by changes in physical climate parameters

Opportunity driver	Description	Potential impact	Timeframe	Direct/ Indirect	Likelihood	Magnitude of impact	Estimated financial implications	Management methods	Cost of management
Select from:  Change in mean (average) temperature  Change in temperature extremes  Change in mean (average) precipitation  Change in precipitation pattern  Change in precipitation extremes and droughts  Snow and ice  Induced changes in natural resources  Other physical climate opportunities	Text Field [2400 characters max]	Select from:  Reduced operational costs  Reduced capital costs  Increased demand for existing products/services  Premium price opportunities  Increased production capacity  Increased in capital availability  Increased stock price (market valuation)  New product/business services  Investment opportunities  Wider social benefits  Other, please specify	Select from:  • Up to 1 year  • 1 to 3 years  • 3 to 6 years  • >6 years  • However	Select from: Direct Indirect (Supply chain) Indirect (Client)	Select from:  Virtually certain  Very likely  Likely  More likely than not  About as likely as not  Unlikely  Very unlikely  Exceptionally unlikely  Unknown	Select from:  • High  • Medium-high  • Medium  • Low-medium  • Low  • Unknown	Text Field [maximum 1000 characters]	Text Field [maximum 1500 characters]	Text Field [maximum 1000 characters]
Tropical cyclones (hurricanes and typhoons)	Our manufacturing operations located in Asia exist in multiple locations with Business Continuity Plans in place to enhance the ability to adapt to extreme weather events.	Increased Production Capacity	>6 years	Direct	About as likely as not	High	We anticipate that financial implications of downtime can exceed 2 percent of a site's revenue for each week it is not in operation with considerable costs potentially applicable to return it to operation.	We have developed a comprehensive Business Continuity Plan, which is approved by our Chief Operating Officer, including as it is reviewed and updated nearly annually. The use of production capacity at four sites in two countries provides	The management costs include the effort and time to attain COO approval as well as multiple managers at the corporate and site level to attain that level of approval. In addition, the Business Continuity Plan requires continuity steps to allow



resilient return
to operation
to operation
including
drawing files,
backup
generation,
backup
manufacturing
sites and
capability and
against the
plan.
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If "Opportunities driven by changes in regulation" is ticked:

#### CC6.1c: Please describe the inherent opportunities that are driven by changes in other climate-related developments

Opportunity driver	Description	Potential impact	Timeframe	Direct/ Indirect	Likelihood	Magnitude of impact	Estimated financial	Management methods	Cost of management
							implications		



Select from: Reputation Changing consumer behavior Induced changes in human and cultural environments Fluctuating socio-economic conditions Increasing humanitarian demands Other drivers	Text Field [2400 characters max]	Select from:  Reduced operational costs  Reduced capital costs  Increased demand for existing products/services  Premium price opportunities  Increased production capacity  Increased stock price (market valuation)  New product/business services  Investment opportunities  Wider social benefits  Other, please specify	Select from:  Up to 1 year  1 to 3 years  3 to 6 years  >6 years  Unknown	Select from:  Direct Indirect (Supply chain) Indirect (Client)	Select from:  Virtually certain  Very likely  Likely  More likely than not  About as likely as not  Unlikely  Very unlikely  Exceptionally unlikely  Unknown	Select from:  High  Medium-high  Medium  Low-medium  Low  Unknown	Text Field [maximum 1000 characters max]	Text Field [maximum 1500 characters]	Text Field [maximum 1000 characters]
Reputation	Climate change risk driven by reputation can reduce business with environmentally concerned customers.	Reduced operational costs Increased demand for existing products / services	3 to 6 years	Direct	About as likely as not	Medium	Our steps taken in R&D and management investment through the Business Continuity Plan should reduce risks or even create an opportunity for Artesyn.	The management method that addresses this opportunity is reflected in the aggressive R&D programs that seek to reduce energy consumption of our products. In addition, each of our manufacturing sites has multiple energy initiatives to reduce the energy consumption of their own operations both	R&D is a significant investment as a percent of sales for our embedded power products. In addition, we took an active role in managing our energy costs and taking steps to reduce our energy footprint. The up-front cost of energy improvement implemented or considered in 2014 exceeds \$9 million, resulting in

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				implemented and under development.	the opportunity to reduce operating costs.



If "Opportunities driven by changes in regulation" is not ticked in CC6.1:

- CC6.1d: Please explain why you do not consider your company to be exposed to inherent opportunities driven by changes in regulation that have the potential to generate a substantive change in your business operations, revenue or expenditure [maximum 2400 characters] If "Opportunities driven by changes in physical climate parameters" is not ticked in CC6.1:
- CC6.1e: Please explain why you do not consider your company to be exposed to inherent opportunities driven by physical climate parameters that have the potential to generate a substantive change in your business operations, revenue or expenditure [maximum 2400 characters]

If "Opportunities driven by changes in other climate-related developments" is not ticked in CC6.1:

CC6.1f: Please explain why you do not consider your company to be exposed to inherent opportunities driven by changes in other climaterelated developments that have the potential to generate a substantive change in your business operations, revenue or expenditure [maximum 2400 characters]



## **Emissions**

## **CC7.** Emissions Methodology

CC7.1: Please provide your base year and base year emissions (Scopes 1 and 2)

Scope	Base year	Base year emissions (metric tonnes CO2e)
Scope 1	01/01/2014 to 31/12/2014	925
Scope 2	01/01/2014 to 31/12/2014	72273

CC7.2: Please give the name of the standard, protocol or methodology you have used to collect activity data and calculate Scope 1 and Scope 2 emissions

The Greenhouse Gas Protocol: A Corporate Accounting and Reporting Standard (Revised Edition

CC7.2a: If you have selected "Other" in CC7.2 please provide details of the standard, protocol or methodology you have used to collect activity data and calculate Scope 1 and Scope 2 emissions [maximum 5000 characters]

CC7.3: Please give the source for the global warming potentials you have used

Gas	Reference	



Select from:	Select from:
• CH4	<ul> <li>IPCC Fifth Assessment Report (AR5 – 100 year)</li> </ul>
• N2O	
• <mark>CO2</mark>	



# CC7.4: Please give the emissions factors you have applied and their origin; alternatively, please attach an Excel spreadsheet with this data at the bottom of the page

Fuel/Material/Energy	Emission Factor	Unit	Reference
Select from: Anthracite; Asphalt/ bitumen; Aviation gasoline; Biodiesels; Biogas; Biogasoline; Bituminous coal; BKB; Blast furnace gas; Brown coal; Brown coal briquettes; Butane; Charcoal; Coke breeze; Coke oven coke; Coke oven gas; Coking coal; Cooling; Crude oil; Diesel/Gas oil; Distillate fuel oil No 1; Distillate fuel oil No 2; Distillate fuel oil No 3; Distillate fuel oil No 4; Distillate fuel oil No 5; Distillate fuel oil No 6; Electricity; Ethane; Gas works gas; Heat; Jet gasoline; Jet kerosene; Kerosene; Landfill gas; Lignite; Lignite coke; Liquefied Natural Gas (LNG); Liquefied petroleum gas (LPG); Lubricants; Metallurgical coke; Methane; Motor gasoline; Municipal waste; Naphtha; Natural gas; Oil shale and bitumen (oil sands); Orimulsion; Oxygen steel furnace gas; Patent fuel; Peat; Petroleum coke; Pitch; Propane; Refinery feedstocks; Refinery gas; Refuse-derived fuel; Residual fuel oil; Semi-coke; Shale oil; Sludge gas; Steam; Sub bituminous coal; Sulphite lyes (Black liquor); Tar; Town gas or city gas; Turpentine; Vegetable oils; Waste oils; Waste plastics; Waste tire derived fuels; Waxes; White spirit/ SBP; Wood or wood waste, Other, please specify	Numerical Field	Select from:  metric tonnes CO2e per m3  metric tonnes CO2e per liter  metric tonnes CO2e per liter  metric tonnes CO2e per liter  metric tonnes CO2e per MWh  metric tonnes CO2e per MWh  kg CO2e per liter  kg CO2e per liter  kg CO2e per liter  kg CO2e per MWh  metric tonnes CO2e per GJ  metric tonnes CO2e per GJ  metric tonnes CO2e per GJ  metric tonnes CO2e per metric tonne  metric tonnes CO2e per metric tonne  metric tonnes CO2e per metric tonne  blo CO2e per 1000 ft3  lbo CO2e per 1000 ft3  lbo CO2e per gallon  looca per gallon  looca per parrel  looca per barrel  looca per million BTU  looca per short ton  looca per short ton  looca per MWh  looca per MWh  looca per MWh  Other, please specify	Text Field
Electricity	502	Kg CO2 per MWh	The Climate Registry Emission Factors – released April 2015. Philippines country location. (others attached below)



### **CC8.** Emissions Data

CC8.1: Please select the boundary you are using for your Scope 1 and 2 greenhouse gas inventory

In the drop down menu provided in ORS, select from the following options:

- Financial control
- Operational control
- Equity share
- Other, please specify
- CC8.2: Please provide your gross global Scope 1 emissions figures in metric tonnes CO2e 925
- CC8.3: Please provide your gross global Scope 2 emissions figures in metric tonnes CO2e 72273
- CC8.4: Are there any sources (e.g. facilities, specific GHGs, activities, geographies etc.) of Scope 1 and Scope 2 emissions that are within your selected reporting boundary which are not included in your disclosure?
  - Yes
  - No

If "Yes":

CC8.4a: Please provide details of the sources of Scope 1 and Scope 2 emissions that are within your selected reporting boundary which are not included in your disclosure



Source	Relevance of Scope 1 emissions from this source	Relevance of Scope 2 emissions from this source	Explain why the source is excluded
Text Field [maximum 2400 characters]	Select from:  No emissions excluded  No emissions from this source  Emissions are not relevant  Emissions are relevant but not yet calculated  Emissions are relevant and calculated but not disclosed  Emissions excluded due to recent acquisition  Emissions are not evaluated	Select from:  No emissions excluded  No emissions from this source  Emissions are not relevant  Emissions are relevant but not yet calculated  Emissions are relevant and calculated but not disclosed  Emissions excluded due to recent acquisition  Emissions are not evaluated	Text Field [maximum 2400 characters]
All other Artesyn Embedded  Technologies facilities beyond the four manufacturing sites.	Emissions are not evaluated	Emissions are not evaluated	Inventory reported is exclusively facilities producing product for our requesting customer, so only emissions from our manufacturing facilities are included. Some offices and research centers exist with relatively low energy consumption estimated 2 percent of inventory. As a result, such offices and research centers are not expected to have a material impact on the inventory assuming a 5 percent threshold for materiality.



# CC8.5: Please estimate the level of uncertainty of the total gross global Scope 1 and 2 emissions figures that you have supplied and specify the sources of uncertainty in your data gathering, handling and calculations

Scope	Uncertainty range	Main sources of uncertainty	Please expand on the uncertainty in your data
Scope 1	Select from:  • Less than or equal to 2%  • More than 2% but less than or equal to 5%  • More than 5% but less than or equal to 10%  • More than 10% but less than or equal to 20%  • More than 20% but less than or equal to 30%  • More than 30% but less than or equal to 40%  • More than 40% but less than or equal to 50%  • More than 50% but less than or equal to 60%  • More than 60% but less than or equal to 70%  • More than 70% but less than or equal to 80%  • More than 80% but less than or equal to 90%  • More than 90% but less than or equal to 100%  • Greater than 100%	Select from:  • Data Gaps  • Assumptions  • Extrapolation  • Metering/ Measurement Constraints  • Sampling  • Data Management  • No Sources of Uncertainty  • Other, please specify	Text Field [maximum 2400 characters]
Scope 1	More than 20% but less than or equal to 30%	Data Gaps	Inventory reported is exclusively facilities producing product for our requesting customer, so only emissions from our manufacturing facilities are included. Some offices and research centers exist with relatively low energy consumption estimated 2 percent of inventory. As a result, such offices and research centers are not expected to have a material impact on the inventory assuming a 5 percent threshold for materiality.
Scope 2	Select from:  Less than or equal to 2%  More than 2% but less than or equal to 5%  More than 5% but less than or equal to 10%  More than 10% but less than or equal to 20%  More than 20% but less than or equal to 30%  More than 30% but less than or equal to 40%  More than 40% but less than or equal to 50%  More than 50% but less than or equal to 60%  More than 60% but less than or equal to 70%  More than 70% but less than or equal to 80%  More than 80% but less than or equal to 90%  More than 90% but less than or equal to 100%  Greater than 100%	Select from:  Data Gaps  Assumptions  Extrapolation  Metering/ Measurement Constraints  Sampling  Data Management  No Sources of Uncertainty  Other, please specify	Text Field [maximum 2400 characters]



Scope	Uncertainty range	Main sources of uncertainty	Please expand on the uncertainty in your data
Scope 2	More than 20% but less than or equal to 30%	Data Gaps	Inventory reported is exclusively facilities producing product for our requesting customer, so only emissions from our manufacturing facilities are included. Some offices and research centers exist with relatively low energy consumption estimated 2 percent of inventory. As a result, such offices and research centers are not
			expected to have a material impact on the inventory assuming a 5 percent threshold for materiality.

#### CC8.6: Please indicate the verification/assurance status that applies to your reported Scope 1 emissions

Please select from:

- No emissions data provided
- No third party verification or assurance
- No third party verification or assurance regulatory CEMS required
- Biennial process in place but no third party verification or assurance of reported emissions
- Triennial process in place but no third party verification or assurance of reported emissions
- Third party verification or assurance underway for the reporting year but not yet complete previous statement of biennial process attached
- Third party verification or assurance underway but not yet complete previous statement of triennial process attached
- Third party verification or assurance underway but not yet complete first year it has taken place
- Third party verification or assurance underway for the reporting year but not yet complete last year's statement attached
- Third party verification or assurance complete

#### No third party verification or assurance

If one of the following below has been selected in answer to question CC8.6: You will be requested to answer question CC8.6a on the following page:

- "Third party verification or assurance underway for the reporting year but not yet complete previous statement of biennial process attached"
- "Third party verification or assurance underway but not yet complete previous statement of triennial process attached"
- "Third party verification underway for the reporting year but not yet complete last year's statement attached"
- "Third party verification underway but not yet complete first year it has taken place"

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"Third party verification or assurance complete"



CC8.6a: Please provide further details of the verification/assurance undertaken for your Scope 1 emissions, and attach the relevant statements

Select from:  Not applicable  Limited assurance  Moderate assurance  Reasonable assurance  High assurance  Third party verification/ assurance underway	Attach document (see ORS)	Text Field	<ul> <li>A1000AS</li> <li>Advanced technologies promotion Subsidy Scheme with Emission reduction Target (ASSET)</li> <li>Airport Carbon Accreditation (ACA) des Airports Council International Europe</li> <li>Alberta Specified Gas Emitters Regulation (SGER)</li> <li>ASAE3000</li> <li>Attestation standards established by AICPA (AT101)</li> <li>Australian National GHG emission regulation (NGER)</li> <li>California Mandatory GHG Reporting Regulations (CARB)</li> <li>Canadian Institute of Chartered Accountants (CICA) Handbook: Assurance Section 5025</li> <li>CCX verification standard</li> <li>Certified emissions measurement and reduction scheme (CEMARS)</li> <li>Chicago Climate Exchange verification standard</li> <li>Compagnie Nationale des Commissaires aux Comptes (CNCC)</li> <li>Corporate GHG verification guidelines from ERT</li> <li>DNV Verisustain Protocol/ Verification Protocol for Sustainability Reporting</li> <li>ERM GHG Performance Data Assurance Methodology</li> <li>European Union Emissions Trading System (EU ETS)</li> <li>ISAE3000</li> <li>ISAE 3410</li> <li>ISO14064-3</li> <li>Japan voluntary emissions trading scheme (JVETS) guideline for verification</li> <li>Korean GHG and energy target management system</li> <li>RevR6 procedure for assurance of sustainability report</li> <li>Saitama Prefecture Target-Setting Emissions Trading Program</li> <li>SGS Sustainability Report Assurance</li> <li>Spanish Institute of Registered Audiors (ICJCE)</li> <li>Standard 3410N Assurance engagements relating to sustainability reports of the Royal Netherlands Institute of Registered Accountants</li> <li>State of Israel Ministry of Environmental Protection, Verification of GHG and emissions reduction in Israel Guidance Document</li> <li>The Climate Registry's General Verification</li> <li>Verification as part of Carbon Trust standard certification</li> <li>Other, please specify</li> </ul>	Numerical Field

N/A No verification



#### If "No third party verification or assurance – regulatory CEMS required" is selected in question CC8.6:

CC8.6b: Please provide further details of the regulatory regime to which you are complying that specifies the use of Continuous Emission Monitoring Systems (CEMS)

Select from: CFR 40 Part 75 Other, please specify	Numerical Field	Text Field	Attach document

N/A No verification



#### CC8.7: Please indicate the verification/assurance status that applies to your reported Scope 2 emissions

Please select from:

- No emissions data provided
- No third party verification or assurance
- Biennial process in place but no third party verification or assurance of reported emissions
- Triennial process in place but no third party verification or assurance of reported emissions
- Third party verification or assurance underway for the reporting year but not yet complete previous statement of biennial process attached
- Third party verification or assurance underway but not yet complete previous statement of triennial process attached
- Third party verification or assurance underway but not yet complete first year it has taken place
- Third party verification or assurance underway but not yet complete last year's statement attached
- Third party verification or assurance complete

#### No third party verification or assurance

If one of the following below had been selected in answer to question CC8.7, please complete the table for answer to question CC8.7a.

- "Third party verification or assurance underway for the reporting year but not yet complete previous statement of biennial process attached",
- "Third party verification or assurance underway but not yet complete previous statement of triennial process attached",
- "Third party verification underway for the reporting year but not yet complete last year's statement attached", "Third party verification underway but not yet complete first year it has taken place" or
- "Third party verification or assurance complete"



#### CC8.7a: Please provide further details of the verification/assurance undertaken for your Scope 2 emissions, and attach the relevant statements

Select from:  Not applicable  Limited assurance  Moderate assurance  Reasonable assurance  High assurance  Third party verification/ assurance underway	Attach document (see ORS)	Text Field	<ul> <li>A1000AS</li> <li>Advanced technologies promotion Subsidy Scheme with Emission reduction Target (ASSET)</li> <li>Airport Carbon Accreditation (ACA) des Airports Council International Europe</li> <li>Alberta Specified Gas Emitters Regulation (SGER)</li> <li>ASAE3000</li> <li>Attestation standards established by AICPA (AT101)</li> <li>Australian National GHG emission regulation (NGER)</li> <li>California Mandatory GHG Reporting Regulations (CARB)</li> <li>Canadian Institute of Chartered Accountants (CICA) Handbook: Assurance Section 5025</li> <li>CCX verification standard</li> <li>Certified emissions measurement and reduction scheme (CEMARS)</li> <li>Chicago Climate Exchange verification standard</li> <li>Compagnie Nationale des Commissaires aux Comptes (CNCC)</li> <li>Corporate GHG verification guidelines from ERT</li> <li>DNV Verisustain Protocol/ Verification Protocol for Sustainability Reporting</li> <li>ERM GHG Performance Data Assurance Methodology</li> <li>European Union Emissions Trading System (EU ETS)</li> <li>ISAE3000</li> <li>ISAE3000</li> <li>ISAE 3410</li> <li>ISO14064-3</li> <li>Japan voluntary emissions trading scheme (JVETS) guideline for verification</li> <li>Korean GHG and energy target management system</li> <li>RevR6 procedure for assurance of sustainability report</li> <li>Saitama Prefecture Target-Setting Emissions Trading Program</li> <li>SGS Sustainability Report Assurance</li> <li>Spanish Institute of Registered Auditors (ICJCE)</li> <li>Standard 34/10N Assurance engagements relating to sustainability reports of the Royal Netherlands Institute of Registered Accountants</li> <li>State of Israel Ministry of Environmental Protection, Verification of GHG and emissions reduction in Israel Guidance Document</li> <li>The Climate Registry's General Verification Protocol</li> <li>Tokyo cap-and-trade guideline for verification</li> <li>Verification as part of Carbon Trust standard certification</li> <li>Other, please specify</li> </ul>	Numerical Field

N/A No verification



# CC8.8: Please identify if any data points have been verified as part of the third party verification work undertaken, other than the verification of emissions figures reported in CC8.6, CC8.7 and CC14.2

Additional data points verified	Comment
Select from:	Text Field [maximum 1500 characters]
Year on year change in emissions (Scope 1)	
Year on year change in emissions (Scope 2)	
Year on year change in emissions (Scope 1 and 2)	
Year on year change in emissions (Scope 3)	
Year on year emissions intensity figure	
Progress against emission reduction target	
Change in Scope 1 emissions against a base year (not target related)	
Change in Scope 2 emissions against a base year (not target related)	
Change in Scope 3 emissions against a base year (not target related)	
Product footprint verification	
Emissions reduction activities	
No additional data verified	
Don't know	
Other, please specify	
No additional data verified	

CC8.9: Are carbon dioxide emissions from biologically sequestered carbon relevant to your organization?

- Yes
- No

If "Yes":

CC8.9a: Please provide the emissions from biologically sequestered carbon relevant to your organization in metric tonnes CO2 [numerical field]



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# **CC9. Scope 1 Emissions Breakdown**

YesNo

Yes

CC9.1: Do you have Scope 1 emissions sources in more than one country?

- Cuiii	try/Region	Scope 1 metric tonnes CO2e
Select	from country drop down list	Numerical Field
China		456
Philipp	pines	469
C9.2:	Select from:	cope 1 emissions breakdowns you are able to provide (tick all that apply)
	<ul><li>☐ By business division (CC9.2</li><li>✓ By facility (CC9.2b)</li></ul>	a)
	☐ By GHG type (CC9.2c)	
	☐ By activity (CC9.2d)	
	☐ By legal structure (CC9.2e)	
	by legal structure (CC9.2e)	
	Questions CC9.2a-CC9.2e wi	I be presented relative to the selected Scope 1 emission breakdowns in questio
C9.2a:	"By business division": Please break down your total gro	ss global Scope 1 emissions by business division



#### CC9.2b: Please break down your total gross global Scope 1 emissions by facility

Facility	Scope 1 emissions (metric tonnes CO2e)	Latitude	Longitude
Text Field	Numerical Field	Numerical Field	Numerical Field

"By GHG type":

#### CC9.2c: Please break down your total gross global Scope 1 emissions by GHG type

Select from:  CO2 CH4 N2O HFCs PFCs SF6 NF3 Other, please specify	Numerical Field

"By activity":

#### CC9.2d: Please break down your total gross global Scope 1 emissions by activity

Text Field	Numerical Field

If you have ticked "by legal structure" in response to question CC9.2:

#### CC9.2e: Please break down your total gross global Scope 1 emissions by legal structure

Parent company and subsidiaries under financial control including leased assets	Numerical Field



treated as assets of the consolidated group for financial accounting purposes, Part 1	
Joint ventures, Part 1	Numerical Field
Associates, Part 2	Numerical Field
Emissions from operationally controlled and/or other entities/activities/facilities, Part 2	Numerical Field

## CC10. Scope 2 Emissions Breakdown

CC10.1: Do you have Scope 2 emissions sources in more than one country?

- Yes
- No

If "Yes":

CC10.1a: Please break down your total gross global Scope 2 emissions and energy consumption by country/region

Country/Region	Scope 2 metric tonnes CO2e	Purchased and consumed electricity, heat, steam or cooling (MWh)	Purchased and consumed low carbon electricity, heat, steam or cooling (MWh)
Select from a drop down list of countries and regions.	Numerical Field	Numerical Field	Numerical Field
China	58427	70993	0
Philippines	13845	27580	0

CC10.2: Please indicate which other Scope 2 emissions breakdowns you are able to provide (tick all that apply)

Please select from:

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•	Βv	business	division	(CC10.2a
•	Dγ	DUSINESS	uivisiuii	100.2

- By facility (CC10.2b)
- By activity (CC10.2c)
- By legal structure (CC10.2d)

#### Questions CC10.2a–CC10.2d will be presented relative to the selected Scope 1 emission breakdowns in question CC10.2:

"By business division":

CC10.2a: Please break down your total gross global Scope 2 emissions by business division

Text Field	Numerical Field

#### CC10.2b: Please break down your total gross global Scope 2 emissions by facility

Facility	Scope 2 emissions (metric tonnes CO2e)
Text Field	Numerical Field

"Ry activity":

CC10.2c: Please break down your total gross global Scope 2 emissions by activity

Text Field	Numerical Field

"By legal structure":

CC10.2d: Please break down your total gross global Scope 2 emissions by legal structure



Parent company and subsidiaries under financial control including leased assets treated as assets of the consolidated group for financial accounting purposes, Part 1	Numerical Field
Joint ventures, Part 1	Numerical Field
Associates, Part 2	Numerical Field
Emissions from operationally controlled and/or other entities/activities/facilities, Part 2	Numerical Field



### CC11. Energy

#### CC11.1: What percentage of your total operational spend in the reporting year was on energy?

Please select from the following options in the drop down menu:

- 0%
- More than 0% but less than or equal to 5%
- More than 5% but less than or equal to 10%
- More than 10% but less than or equal to 15%
- More than 15% but less than or equal to 20%
- More than 20% but less than or equal to 25%
- More than 25% but less than or equal to 30%
- More than 30% but less than or equal to 35%
- More than 35% but less than or equal to 40%
- More than 40% but less than or equal to 45%
- More than 45% but less than or equal to 50%
- More than 50% but less than or equal to 55%
- More than 55% but less than or equal to 60%
- More than 60% but less than or equal to 65%
- More than 65% but less than or equal to 70%
- More than 70% but less than or equal to 75%
- More than 75% but less than or equal to 80%
- More than 80% but less than or equal to 85%
- More than 85% but less than or equal to 90%
- More than 90% but less than or equal to 95%
- More than 95% but less than or equal to 100%

CC11.2: Please state how much fuel, electricity, heat, steam and cooling in MWh your organization has purchased and consumed during the reporting year

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Energy type	MWh
Fuel	3842
Electricity	98573
Heat	0
Steam	0
Cooling	0

### CC11.3: Please complete the table by breaking down the total "Fuel" figure entered above by fuel type

Fuels	MWh
Select from: No fuel consumed; Anthracite; Asphalt/ bitumen; Aviation gasoline; Biodiesels; Biogas; Biogasoline; Bituminous coal; BKB; Blast furnace gas; Brown coal; Brown coal briquettes; Butane; Charcoal; Coke breeze; Coke oven coke; Coke oven gas; Coking coal; Crude oil; Diesel/Gas oil; Distillate fuel oil No 1; Distillate fuel oil No 2; Distillate fuel oil No 3; Distillate fuel oil No 4; Distillate fuel oil No 5; Distillate fuel oil No 6; Ethane; Gas works gas; Jet gasoline; Jet kerosene; Kerosene; Landfill gas; Lignite; Lignite coke; Liquefied Natural Gas (LNG); Liquefied petroleum gas (LPG); Lubricants; Metallurgical coke; Methane; Motor gasoline; Municipal waste; Naphtha; Natural gas; Oil shale and bitumen (oil sands); Orimulsion; Oxygen steel furnace gas; Patent fuel; Peat; Petroleum coke; Pitch; Propane; Refinery feedstocks; Refinery gas; Refuse-derived fuel; Residual fuel oil; Semi-coke; Shale oil; Sludge gas; Sub bituminous coal; Sulphite lyes (Black liquor); Tar; Town gas or city gas; Turpentine; Vegetable oils; Waste oils; Waste plastics; Waste tire derived fuels; Waxes; White spirit/ SBP; Wood or wood waste; Other, please specify	Numerical Field

	MWh
Residual Fuel Oil	445
<u>LPG</u>	874
Natural Gas	874
Diesel/Gas Oil	715
Motor Gasoline	935



# CC11.4: Please provide details of the electricity, heat, steam or cooling amounts that were accounted at a low carbon emission factor in the Scope 2 figure you provided in CC8.3

Basis for applying a low carbon emission factor	MWh associated with low carbon electricity, heat, steam or cooling	Comments
Select from:  No purchases or generation of low carbon electricity, heat, steam or cooling accounted with a low carbon emissions factor  Non-grid connected low carbon heat, steam or cooling, generation owned by company  Non-grid connected low carbon electricity generation owned by company, no instruments created  Non-grid connected low carbon electricity not owned by company, no instruments created  Grid connected low carbon electricity generation owned by company, no instruments created  Grid connected low carbon electricity generation owned by company, no instruments created and retired by company  Tracking instruments, Guarantees of Origin  Tracking instruments, RECS (USA)  Tracking instruments, I-REC (Israel, Taiwan and Turkey)  Power Purchase Agreements (PPA) not backed by instruments  Supplier specific, backed by instruments  Other	Numerical Field	Text Field [maximum 2400 characters]
No purchases or generation of low carbon electricity, heat, steam or cooling accounted with a low carbon emissions factor	0	



### **CC12. Emissions Performance**

CC12.1: How do your gross global emissions (Scope 1 and 2 combined) for the reporting year compare to the previous year?

Please select from:

- Increased
- Decreased
- No change
- This is our first year of estimation
- We don't have any emissions data

If emissions have "Increased", "Decreased" or "No change" in response to question CC12.1:

CC12.1a: Please identify the reasons for any change in your gross global emissions (Scope 1 and 2 combined) and for each of them specify how your emissions compare to the previous year

Emissions reduction activities	Numerical Field	Select from:  Increase  Decrease	Text Field [maximum 2400 characters]
Divestment	Numerical Field	Select from:  Increase  Decrease	Text Field [maximum 2400 characters]
Acquisitions	Numerical Field	Select from:  Increase  Decrease	Text Field [maximum 2400 characters]
Mergers	Numerical Field	Select from:  Increase  Decrease	Text Field [maximum 2400 characters]
Change in output	Numerical Field	Select from:  Increase  Decrease	Text Field [maximum 2400 characters]
Change in methodology	Numerical Field	Select from:  Increase  Decrease	Text Field [maximum 2400 characters]
Change in boundary	Numerical Field	Select from:  Increase  Decrease	Text Field [maximum 2400 characters]
Change in physical operating conditions	Numerical Field	Select from: • Increase	Text Field [maximum 2400 characters]



		<ul> <li>Decrease</li> </ul>	
Unidentified	Numerical Field	Select from:  Increase  Decrease	Text Field [maximum 2400 characters]
Other	Numerical Field	Select from: • Increase • Decrease	Text Field [maximum 2400 characters]

# CC12.2: Please describe your gross global combined Scope 1 and 2 emissions for the reporting year in metric tonnes CO2e per unit currency total revenue.

Intensity figure	Metric numerator	Metric denominator	% change from previous year	Direction of change from previous year	Reason for change
Numerical Field	Metric tonnes CO2e	Unit total revenue	Numerical Field	Select from:  Increase  Decrease  No change  N/A	
0	Metric tonnes CO2e	Unit total revenue	0	N/A	Artesyn Embedded Technologies is not a publicly traded company required to release revenue data so this data is not available.

# CC12.3: Please describe your gross global combined Scope 1 and 2 emissions for the reporting year in metric tonnes CO2e per full time equivalent (FTE) employee

Intensity figure	Metric numerator	Metric denominator	% change from previous year	Direction of change from previous year	Reason for change
Numerical Field	Metric tonnes CO2e	FTE employee	Numerical Field	Select from:  • Increase  • Decrease  • No change  • N/A	Text Field [maximum 2400 characters]



4.31			0	N/A	This is the first
	Metric tonnes CO2e	FTE employee			year and our
					baseline year as a
					newly created
					entity. FTE
					includes
					production facility
					employees.

#### CC12.4: Please provide an additional intensity (normalized) metric that is appropriate to your business operations

Intensity figure	Metric numerator	Metric denominator	% change from previous year	Direction of change from previous year	Reason for change
Numerical Field	Metric tonnes CO2e	Select from:  unit hour worked  metric tonne of product  liter of product  unit of production  unit of service provided  square foot  square meter  kilometer  passenger kilometer  megawatt hour (MWh)  barrel of oil equivalent (BOE)  vehicle produced  tonne of aluminum  tonne of ore processed  ounce of gold  ounce of platinum  tonne of steel  billion (currency) funds under management  Other, please specify	Numerical Field	Select from: • Increase • Decrease • No change • N/A	Text Field [maximum 2400 characters]

# **CC13. Emissions Trading**

CC13.1: Do you participate in any emissions trading schemes?



Please select the options below in the drop down menu:

- Yes
- No, but we anticipate doing so in the next 2 years
- No, and we do not currently anticipate doing so in the next 2 years

If "Yes":

CC13.1a: Please complete the following table for each of the emission trading schemes in which you participate

Select from:  Alberta Emissions Trading Regulation California's Greenhouse Gas Cap and Trade Program European Union ETS Japan Voluntary ETS New Zealand ETS Regional Greenhouse Gas Initiative Tokyo Cap-and-Trade Other, please specify	Use the calendar button or enter dates manually in the format DD/MM/YYYY in the "From" and "To" fields.	Numerical Field	Numerical Field	Numerical Field	Select from:  Facilities we own and operate  Facilities we own but do not operate  Facilities we operate but do not own  Other, please specify

If "Yes" or "No, but we anticipate doing so within the next 2 years" in response to question CC13.1:

CC13.1b: What is your strategy for complying with the schemes in which you participate or anticipate participating? [maximum 5000 characters]

N/A answered "No, and we do not currently anticipate doing so in the next 2 years" in CC13.1

CC13.2: Has your organization originated any project-based carbon credits or purchased any within the reporting period?

- Yes
- No

If "Yes":

CC13.2a: Please provide details on the project-based carbon credits originated or purchased by your organization in the reporting period

Text Field	Select from: Agriculture Biomass energy Cement CO2 usage Coal mine/bed CH4 Energy distribution Energy efficiency: households Energy efficiency: industry Energy efficiency: own generation Energy efficiency: service Energy efficiency: supply side Forests Fossil fuel switch Fugitive Geothermal HFCs Hydro Landfill gas Methane avoidance N20 PFCs and SF6 Solar Tidal Transport Wind Other, please specify	Text Field	Select from: CDM (Clean Development Mechanism) Ji (Joint Implementation) Gold Standard VCS (Verified Carbon Standard) VER+ (TÜV SÜD standard) CAR (The Climate Action Reserve) CCBS (Climate, Community and Biodiversity Alliance) Not yet verified Other, please specify	Numerical Field	Numerical Field	Select from: • Yes • No • Not relevant	Select from:

# CC14. Scope 3 Emissions

CC14.1: Please account for your organization's Scope 3 emissions, disclosing and explaining any exclusions



Sources of Scope 3 emissions	Evaluation status	Metric tonnes CO2e	Emissions calculation methodology	Percentage of emissions calculated using data obtained from suppliers or value chain suppliers	Explanation
Purchased goods and services	Select from:	Numerical Field	Text Field [maximum	Numerical Field	Text Field
Capital goods	Relevant, calculated     Relevant, not yet calculated		2400 characters]		[maximum 2400 characters]
Fuel-and-energy-related activities (not included in Scope 1 or 2)	Not relevant, calculated     Not relevant, explanation				
Upstream transportation and distribution	provided				
Waste generated in operations	Not evaluated				
Business travel					
Employee commuting					
Upstream leased assets					
Downstream transportation and distribution					
Processing of sold products	7				
Use of sold products	7				
End of life treatment of sold products	7				
Downstream leased assets	7				
Franchises	7				
Investments	7				
Other (upstream)	7				
Other (downstream)	7				
Purchased goods and services	Relevant, not yet calculated				
Capital goods	Not Relevant, explanation provided				We did not have any substantial capital purchases in 2014
Fuel-and-energy-related activities (not included in Scope 1 or 2)	Relevant, not yet calculated				
Upstream transportation and distribution	Relevant, not yet calculated				



Sources of Scope 3 emissions	Evaluation status	Metric tonnes CO2e	Emissions calculation methodology	Percentage of emissions calculated using data obtained from suppliers or value chain suppliers	Explanation
Waste generated in operations	Relevant, not yet calculated				
Business travel	Relevant, not yet calculated				
Employee commuting	Relevant, not yet calculated				
Upstream leased assets	Relevant, not yet calculated				
Downstream transportation and distribution	Relevant, not yet calculated				
Processing of sold products	Relevant, not yet calculated				
Use of sold products	Relevant, not yet calculated				
End of life treatment of sold products	Relevant, not yet calculated				
Downstream leased assets	Not relevant, explanation provided				We do not lease assets to other entities.
Franchises	Not relevant, explanation provided				We do not have franchises
Investments	Not relevant, explanation provided				Not applicable to our operations
Other (upstream)	N/A				,
Other (downstream)	N/A				

#### CC14.2: Please indicate the verification/assurance status that applies to your reported Scope 3 emissions

Please select from:

- No emissions data provided
- No third party verification or assurance
- Biennial process in place but no third party verification or assurance of reported emissions
- Triennial process in place but no third party verification or assurance of reported emissions

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- Third party verification or assurance underway for the reporting year but not yet complete previous statement of biennial process attached
- Third party verification or assurance underway but not yet complete previous statement of triennial process attached
- Third party verification or assurance underway but not yet complete first year it has taken place
- Third party verification or assurance underway but not yet complete last year's statement attached
- Third party verification or assurance complete

If "Third party verification or assurance complete or any underway option" was selected in response to question CC14.2:

#### CC14.2a: Please provide further details of the verification/assurance undertaken, and attach the relevant statements

Select from: Not applicable Limited assurance Moderate assurance Reasonable assurance High assurance Third party verification/assurance underway	Attach document (see ORS)	Text Field	Select from: AA1000AS Advanced technologies promotion Subsidy Scheme with Emission reduction Target (ASSET) Airport Carbon Accreditation (ACA) des Airports Council International Europe Alberta Specified Gass Emitters Regulation (SGER) ASAE3000 Assurance Standard 3410N Attestation standards established by AICPA (AT101) Australian National GHG emission regulation (NGER) California Mandatory GHG Reporting Regulations (CARB) Canadian Institute of Chartered Accountants (CICA) Handbook: Assurance Section 5025 CCX verification standard Certified emissions measurement and reduction scheme (CEMARS) Chicago Climate Exchange verification standard Compagnie Nationale des Commissaires aux Comptes (CNCC) Corporate GHG verification guidelines from ERT DNV Verisustain Protocol/ Verification Protocol for Sustainability Reporting ERM GHG Performance Data Assurance Methodology European Union Emissions Trading System (EU ETS) ISAE3000 ISAE 3410 ISO14084-3 Japan voluntary emissions trading scheme (JVETS) guideline for verification Korean GHG and energy target management system RevR6 procedure for assurance of sustainability report Saitama Prefecture Target-Setting Emissions Trading Program SGS Sustainability Report Assurance Spanish Institute of Registered Auctiors (ICJCE) Standard 3410N Assurance enegagements relating to sustainability reports of the Royal Netherlands Institute of Registered Accountants State of Israel Ministry of Environmental Protection, Verification of GHG and emissions reduction in Israel Guidance Document	Numerical Field



	The Climate Registry's General Verification Protocol Tokyo cap-and-trade guideline for verification Verification as part of Carbon Trust standard certification Other, please specify	
--	---	--

#### CC14.3: Are you able to compare your Scope 3 emissions for the reporting year with those for the previous year for any sources?

Please select from:

- Yes
- No, this is our first year of estimation
- No, we don't have any emissions data

If "Yes":

CC14.3a: Please identify the reasons for any change in your Scope 3 emissions and for each of them specify how your emissions compare to the previous year

Select from: Purchased goods & services Capital goods Fuel- and energy-related activities (not included in Scopes 1 or 2) Upstream transportation & distribution Waste generated in operations Business travel Employee commuting Upstream leased assets Investments Downstream transportation and distribution Processing of sold products Use of sold products End-of-life treatment of sold products Downstream leased assets Franchises Other (upstream) Other (downstream)	Select from:  Emissions reduction activities  Divestment  Acquisitions  Mergers  Change in output  Change in methodology  Change in boundary  Change in physical operating conditions  Unidentified  Other, please specify	Numerical Field	Select from: Increase Decrease No change	Text Field [maximum 2400 characters]

# CC14.4: Do you engage with any of the elements of your value chain on GHG emissions and climate change strategies? (Tick all that apply)

	Yes, our suppliers
✓	Yes, our customers
	Yes, other partners in the value chain

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	No, we do not engage
Yes, our sup	pliers

If "Yes, our suppliers", "Yes, our customers" or "Yes, other partners in the value chain" is ticked:

# CC14.4a: Please give details of methods of engagement, your strategy for prioritizing engagements and measures of success [maximum 5000 characters]

If "Yes, our suppliers" is ticked, you will also be required to complete two more additional guestions CC14.4b and CC14.4c:

- (i) Artesyn engages with our customers by responding to customer CDP requests and other climate change and energy consumption requests for information and meeting customer expectations for product requirements.
- (ii) We prioritize engagements based on business potential. We measure success by product design wins.

CC14.4b: To give a sense of scale of this engagement, please give the number of suppliers with whom you are engaging and the proportion of your total spend that they represent

Numerical Field	Numerical Field	Text Field [maximum 2400 characters]

CC14.4c: If you have data on your suppliers' GHG emissions and climate change strategies, please explain how you make use of that data

Select from:  We do not have any data  Use in supplier scorecards  Identifying GHG sources to prioritize for reduction actions  Managing physical risks in the supply chain  Managing the impact of regulation in the supply chain  Stimulating innovation of new products  Other	Text Field [maximum 2400 characters]

If "No, we do not engage" is ticked in response to CC14.4.



CC14.4d: Please explain why you do not engage with any elements of your value chain on GHG emissions and climate change strategies, and any plans you have to develop an engagement strategy in the future [maximum 5000 characters]



# Sign off

CC15.1: Please provide the following information for the person that has signed off (approved) the CDP response

Name	Job title	Corresponding job category
Text field [maximum 200 characters]	Text field [maximum 200 characters]	Select from:  Board chairman  Board/Executive board  Director on board  Chief Executive Officer (CEO)  Chief Financial Officer (CFO)  Chief Operating Officer (COO)  Business unit manager  Energy manager  Environment/Sustainability manager  Facilities manager  Process operation manager  Public affairs manager  Risk manager  Other, please specify
Brian Walsh	General Counsel and Secretary of the Board	Board/Executive board



# Supply chain module

### SM0. Introduction

## SM0.0 If you would like to do so, please take this opportunity to provide a separate introduction to this module [maximum 5000 characters]

Artesyn Embedded Technologies is a global leader in the design and manufacture of highly reliable power conversion and embedded computing solutions for a wide range of industries including communications, computing, healthcare, military, aerospace, and industrial automation. For more than 40 years, customers have trusted Artesyn to help them accelerate time-to-market and reduce risk with cost-effective advanced network computing and power conversion solutions.

#### SM0.1 Please could you indicate your company's annual revenue for the stated reporting period?

Annual revenue	Currency
Numerical field	Drop down menu of currencies

#### SM0.2 Do you have an ISIN for your company that you would be willing to share with CDP?

Select from:

- Yes
- No



If "Yes" was selected in response to question SM0.2:

#### SM0.2a Please use the table below to share your ISIN

ISIN country code (2 letters)	ISIN numeric identifier and single check digit (10 numbers overall)
Text field	Numerical field



## SM1. Allocating your emissions to your customers

# SM1.1 Please allocate your emissions to your customers listed below according to the goods or services you have sold them in this reporting period

Please note that this table is designed so that only the customer that you select in column 1 will be able to see the data relevant to them. If you enter an answer without selecting a requesting member, your answer will not be viewable at all.

Please select the requesting member(s)	Scope of emissions	Emissions in metric tonnes of CO2e	Uncertainty (± %)	Major sources of emissions	Verified <sup>a</sup>	Allocation Method	Please explain how you have identified the GHG source, including major limitations to this process and assumptions made
Dropdown menu of requesting members	Dropdown menu of scopes (Scope 1, 2, or 3)	Numerical field	Numerical field	Text field [maximum 2500 characters]	Select from: • Yes • No	Dropdown menu of methods  Allocation not necessary due to type of primary data available  Allocation not necessary as secondary data used  Allocation based on mass of products purchased  Allocation based on the volume of products purchased  Allocation based on the energy content of products purchased  Allocation based on the chemical content of products purchased  Allocation based on the number of units purchased  Allocation based on area  Allocation based on another physical factor  Allocation based on the market value of products purchased  Other, please specify	Text field [maximum 5000 characters]



		<u>,                                      </u>		T		
Electronic Industry Citizenship Coalition	Scope 1		Petroleum	No	Allocation not necessary due to type of primary data available.	Artesyn Embedded Technologies has four production facilities. Breakout of consumption for the specific product produced for this customer is not presently possible with the level of metering available in the facilities.
Electronic Industry Citizenship Coalition	Scope 2		Electricity	No	Allocation not necessary due to type of primary data available.	Artesyn Embedded Technologies has four production facilities. Breakout of consumption for the specific product produced for this customer is not presently possible with the level of metering available in the facilities.
Royal Philips	Scope 1		Petroleum	No	Allocation not necessary due to type of primary data available.	Artesyn Embedded Technologies has four production facilities. Breakout of consumption for the specific product produced for this customer is not presently possible with the level of metering available in the facilities.
Royal Philips	Scope 2		Electricity	No	Allocation not necessary due to type of primary data available.	Artesyn Embedded Technologies has four production facilities. Breakout of consumption for the specific product produced for this customer is not presently possible with

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				the level of metering
				available in the facilities.

<sup>&</sup>lt;sup>a</sup> Has the allocation of emissions to your customers been externally verified?

# SM1.2 Where published information has been used in completing SM1.1 please provide a reference(s) [Text field maximum 5000 characters]

Our CDP reported emissions include the facilities that produce product for our specific customers.

## SM1.3 What are the challenges in allocating emissions to different customers and what would help you to overcome these challenges?

Allocation challenges	Please explain what would help you overcome these challenges
<ul> <li>Diversity of product lines makes accurately accounting for each product/product line cost ineffective</li> <li>Customer base is too large and diverse to accurately track emissions to the customer level</li> <li>Managing the different emission factors of diverse and numerous geographies makes calculating total footprint difficult</li> <li>Doing so would require we disclose business sensitive/proprietary information</li> <li>We face no challenges</li> <li>Other, please specify</li> </ul>	Text field [maximum 2500 characters]
Doing so would require we disclose business sensitive/proprietary information	Unlikely to be overcome.
Other, please specify	Energy consumption is not presently metered / allocated by product line within the manufacturing operations.  Additional metering tied to manufacturing output by product line may allow us to overcome these challenges.

#### SM1.4 Do you plan to develop your capabilities to allocate emissions to your customers in the future?



#### Select from:

- Yes
- No

If "Yes" was selected in response to SM1.4:

SM1.4a Please describe how you plan to develop your capabilities [maximum 5000 characters]

If "No" was selected in response to SM1.4:

SM1.4b Please explain why you do not plan to develop capabilities to allocate emissions to your customers [maximum 5000 characters] The number of products in Artesyn's portfolio and the use of distributors in the supply chain would likely result in considerable costs if submetering and data management systems were implemented at sufficient detail to allow allocation of energy by product line.



### SM2. Collaborative opportunities

#### **Pre-population**

No questions on this page are eligible for pre-population if you responded last year.

SM2.1 Please use the table below to communicate any proposals you would like to make to specific CDP supply chain members for the collaborative development of GHG emission reducing projects or products

Please note that this table is designed so that only the customer that you select in column 1 will be able to see the data relevant to them. If you enter an answer without selecting a requesting member, your answer will not be viewable at all.

Emissions reduction project or product consists of	Estimated timeframe for carbon reductions to be realized	Details of proposal
Select from: Actions to reduce customers' operational emissions (customer scope 1 & 2) Actions that would reduce our own operational emissions (our scope 1 & 2) Actions that would reduce our own supply chain emissions (our own scope 3) Actions that would reduce both our own and our customers' emissions Other, please specify	Select from:  • 0-1 year  • 1-3 years  • 3-5 years  • Other, please specify	Text field [maximum 5000 characters]
	Select from:  • Actions to reduce customers' operational emissions (customer scope 1 & 2)  • Actions that would reduce our own operational emissions (our scope 1 & 2)  • Actions that would reduce our own supply chain emissions (our own scope 3)  • Actions that would reduce both our own and our customers' emissions	Select from:  • Actions to reduce customers' operational emissions (customer scope 1 & 2)  • Actions that would reduce our own operational emissions (our scope 1 & 2)  • Actions that would reduce our own supply chain emissions (our own scope 3)  • Actions that would reduce both our own and our customers' emissions

SM2.2 Have requests or initiatives by requesting members prompted your organization to take organizational-level emissions reduction initiatives?

Select from:

Yes



#### No

If "Yes" was selected in response to SM2.2:

#### SM2.2a Please select the requesting member(s) that have driven organizational-level emissions reduction initiatives? Leave Blank

Please note that this table is designed so that only the customer that you select in column 1 will be able to see the data relevant to them If you enter an answer without selecting a requesting member, your answer will not be viewable at all.

Please select the requesting member(s) that have driven a reduction	Initiative ID	Describe the reduction initiative	for the reporting year in	opportunity as part of the CDP Supply Chain	Would you be happy for CDP supply chain members to highlight this work in their external communication?
Dropdown menu of requesting members	Dropdown menu	Text field [maximum 2500 characters]	Numerical field	Select from: • Yes • No	Select from: • Yes • No



## SM3. Product (goods and services) level data

#### **Pre-population**

None of the questions on this page are eligible for pre-population if you responded last year.

SM3.1 Are you providing product level data for your organization's goods or services? If so what functionality will you be using?

Organizations are able to add data via the ORS or using a combination of an Excel template and the ORS

Please select from:

- Yes, I will provide data using ORS
- Yes, I will provide data using the Excel template and the ORS
- No, I am not providing data

For all organizations providing product-level data, question SM3.1a will be presented:

SM3.1a Please describe the goods/services for which you want to provide data using the following template and attach it to the response.

If "Yes, I will provide data using the Excel template and the ORS" was selected in response to question SM3.1, questions SM3.2 will be presented:

SM3.2 Please describe the goods/services for which you want to provide data using the following template and attach it to the response



If "Yes, I will provide data using the ORS" was selected in response to question SM3.1, question SM3.2a will be presented. However it is also relevant to those who are responding using the Excel format:

#### SM3.2a Please complete the following table for the goods/services for which you want to provide data

Name of good/ service	Description of good/ service	Type of product	SKU (Stock Keeping Unit)	Total emissions in kg CO2e per unit	± % Change from previous figure supplied	Date of previous figure supplied	Explanation of change	Methods used to estimate lifecycle emissions
Text field [maximum 2400 characters]	Text field [maximum 2400 characters]	Select from: • Final • Intermediate	Text field [maximum 50 characters]	Numerical field	Numerical field	Calendar field	Text field [maximum 2400 characters]	Select from: Bilan Carbone French Product Environmental Footprint Greenhouse Gas Accounting Sector Guidance for Pharmaceutical Products and Medical Devices GHG Protocol Product Accounting & Reporting Standard ISO 14040 & 14044 ISO 14025 EU Product Environmental Footprint (EUPEF) PAS 2050 Other, please specify



#### SM3.2b Please complete the following table with data for lifecycle stages of your goods and/or services

Name of good/ service	Please select the scope	Please select the lifecycle stage	Emissions (kg CO2e) per unit at the lifecycle stage	Is this stage under your ownership or control?	Type of data used	Data quality	If you are verifying/assuring this product emission data, please tell us how
Text field [maximum 2400 characters]	Select from: Scope 1 Scope 2 Scope 3 Scope 1 & 2 Scope 1, 2 & 3 Other, please specify	Select from:  Assembly  Consumer Use  Cradle to gate  Cradle to grave  Distribution  End of life/Final disposal  Energy/Fuel  Manufacturing  Material acquisition  Operation of premises  Packaging  Pre-processing  Processing  Production  Recycling  Storage  Transportation  Waste  Other, please specify	Numerical field	Select from: • Yes • No	Select from: Primary Secondary Primary & secondary	Text field [maximum 2500 characters]	Text field [maximum 5000 characters]



#### SM3.2c Please detail emission reduction initiatives completed or planned for this product

Name of good/ service	Initiative ID	Description of initiative	Completed or Planned	Emission reductions in kg CO2e per unit
Text field [2500 characters]	Dropdown menu	Text field [maximum 2500 characters]	Select from:  Completed  Ongoing  Planned	Numerical field

#### SM3.2d Have any initiatives described in SM3.2c been driven by requesting members?

Select from:

- Yes
- No

If "Yes" is selected in response to question SM3.2d:

#### SM3.2e Please explain which initiatives have been driven by requesting members

Please note that this table is designed so that only the customer that you select in column 1 will be able to see the data relevant to them. If you enter an answer without selecting a requesting member, your answer will not be viewable at all.

Requesting member(s)	Name of good/service	Initiative ID
Names of the requesting members	Text field [maximum 2500 characters]	Dropdown menu